

## Fiber products (Har II 3, Shaf IV 4.1)

Let  $S$  be a scheme. A scheme over  $S$ , or an  $S$ -scheme is a scheme  $X$  together with a morphism  $X \rightarrow S$ . A morphism of  $S$ -schemes is a morphism  $X \rightarrow Y$  such that this diagram commutes:

$$\begin{array}{ccc} X & \longrightarrow & Y \\ & \searrow & \swarrow \\ & S & \end{array}$$

If  $A$  is a ring,  $(\text{Spec } A)$ -schemes are often called  $A$ -schemes.

If  $X$  and  $Y$  are two  $S$ -schemes, we define the fiber product over  $S$ , denoted  $X \times_S Y$  to be a scheme w/ morphisms  $p_1: X \times_S Y \rightarrow X$  and  $p_2: X \times_S Y \rightarrow Y$  compatible

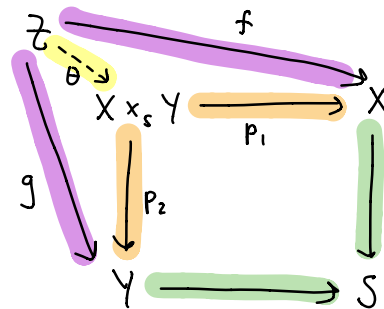
w/ maps  $X \rightarrow S$ ,  $Y \rightarrow S$

(i.e. orange + green diagram commutes) such that if  $Z$

is another  $S$ -scheme, w/ maps

$f: Z \rightarrow X$ ,  $g: Z \rightarrow Y$  such that

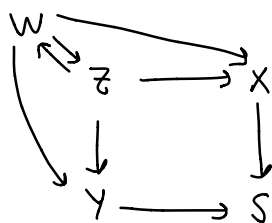
the purple + green diagram commutes, then there is a unique morphism  $\theta: Z \rightarrow X \times_S Y$  such that the purple, yellow, + orange diagrams commute.



Caution: This is not a product on the underlying topological spaces!

Note that if the fiber product exists, then it must be unique up to isomorphism:

If  $W$  and  $Z$  are both fiber products:

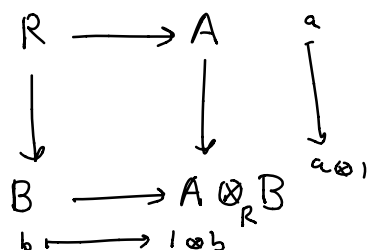


(convince yourself of this if you've never seen this argument before!)

We show existence first in affine case:

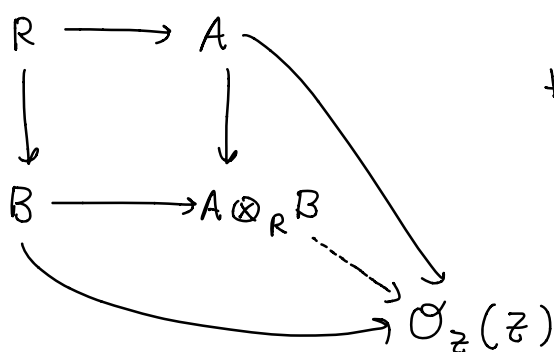
Prop: If  $X = \text{Spec } A$ ,  $Y = \text{Spec } B$ , and  $S = \text{Spec } R$  s.t.  $A$  and  $B$  are  $R$ -algebras, then  $\text{Spec}(A \otimes_R B)$  is the fiber product  $X \times_S Y$ .

Pf: We have the following diagram of rings:



(check this commutes!)

Suppose  $Z$  is a scheme with  $Z \rightarrow X$  and  $Z \rightarrow Y$  compatible with  $S$ -scheme structure. Then we get induced maps on global sections:



The universal property of tensor product says there is a unique homomorphism  $A \otimes_R B \rightarrow \mathcal{O}_Z(Z)$  making the diagram commute.

Exercise: Morphisms to affine schemes  $Z \rightarrow \text{Spec } R$  are in one-to-one correspondence w/ maps on global sections  $R \rightarrow \mathcal{O}_Z(Z)$ .

Thus, there is a unique morphism  $Z \rightarrow \text{Spec}(A \otimes_R B)$  making the corresponding diagram of schemes commute.  $\square$

In the general case, one can prove existence by first giving affine covers and then glueing (see pt of Thm 3.3 in Hartshorne - Make sure you read it!!)

Ex: If  $A = k[x_1, \dots, x_n]$ ,  $B = k[y_1, \dots, y_m]$ , then  $A \otimes_k B \cong k[x_1, \dots, x_n, y_1, \dots, y_m]$ . Thus,  $A^n \times_k A^m \cong A^{n+m}_k$

Ex: If  $R$  is a  $k$ -algebra, then

$$R \otimes_k k[t] \cong R[t]$$

$$\text{So } A'_k \times_k \text{Spec } R \cong A'_R.$$

This is an example of "base change." More generally, if  $X$  is an  $S$ -scheme and  $S'$  is also an  $S$ -scheme, then the scheme  $X' = X \times_S S'$  is an  $S'$ -scheme. We say  $X'$  is obtained from  $X$  by making the base change or base extension  $S' \rightarrow S$ . (Often the base schemes are  $\text{Spec}$  of two different fields)

Ex: let  $A = \mathbb{R}[x, y] / (x^2 + y^2)$ .  $\text{Spec } A$  is irreducible,

since  $(x^2 + y^2)$  is prime. Then

$$\text{Spec } A \times_{\mathbb{R}} \text{Spec } \mathbb{C} \cong \text{Spec } \mathbb{C}[x, y] / (x^2 + y^2)$$

is  $\text{Spec } A$  "base changed" from  $\mathbb{R} \rightarrow \mathbb{C}$ , and it is now reducible!

### Fibers of morphisms

Another use of the fiber product is for describing the fibers of a morphism.

Let  $f: X \rightarrow Y$  be a morphism of schemes and  $P \in Y$  a point. Then  $P$  is a  $Y$ -scheme via the canonical map  $k(P) \rightarrow Y$ .

Def: The scheme-theoretic fiber of  $f$  over  $P$  is the scheme  $X_p := X \times_Y \operatorname{Spec} k(P)$ .

Claim The scheme theoretic fiber  $X_p$  is homeomorphic to the set-theoretic fiber over  $P$ ,  $f^{-1}(P)$  (given the subspace topology in  $X$ ) via the "projection"  $X \times_Y \operatorname{Spec} k(P) \rightarrow X$ .

Pf: First note that if  $V \subseteq Y$  is an open neighborhood of  $P$ , then we can replace  $Y$  with  $V$  and thus we can assume  $Y$  is affine, i.e.  $Y = \operatorname{Spec} A$ .

Let  $\{U_i\}$  be an open affine cover of  $X$ . Then it suffices to show that

$$U_i \times_Y \operatorname{Spec} k(P) \rightarrow U_i$$

is a homeomorphism onto its image  $U_i \cap f^{-1}(P)$ .

If  $U_i = \operatorname{Spec} B$ , this is equivalent to the following commutative algebra fact.

If  $A \rightarrow B$  is a map of rings, then the fiber over  $P \in \operatorname{Spec} A$  in the induced  $\operatorname{Spec}$  map is homeomorphic to  $\operatorname{Spec}(B \otimes_A k(P))$ . (We proved this in 523.)  $\square$

This construction allows us to view a morphism as a family of schemes, i.e. the fibers, parametrized by points in the image.

Def: If  $X_0$  is a  $k$ -scheme, then a family of deformations of  $X_0$  is a morphism  $f: X \rightarrow Y$  s.t. for some  $y_0 \in Y$ ,  $k(y_0) = k$  and  $X_{y_0} \cong X_0$ . The other fibers are deformations of  $X_0$ .

Ex: Consider the map  $\text{Spec } \mathbb{Z}[x, y] / (x^2 + y^2) \rightarrow \text{Spec } \mathbb{Z}$ .

This has fibers over closed points:

$$\text{Spec } \left( \mathbb{Z}[x, y] / (x^2 + y^2) \otimes_{\mathbb{Z}} \mathbb{F}_p \right)$$

$$\cong \text{Spec } \mathbb{F}_p[x, y] / (x^2 + y^2)$$

and generic fiber (fiber over  $(0)$ )

$$\text{Spec } \mathbb{Q}[x, y] / (x^2 + y^2)$$

For properties which are open in families (e.g. smoothness) if any member in the family has it, the generic member will, since the generic point is in every nonempty open set (assuming irred. base)

This leads to "reduction to characteristic  $p$ ": To study  $\text{Spec } \mathbb{Q}[x,y]/(x^2+y^2)$ , we instead study  $\text{Spec } \mathbb{F}_p[x,y]/(x^2+y^2)$  for "typical  $p$ ".

Note that 2 is not typical! The fiber over (2) is nonreduced. In fact, being reduced is an open condition.

Ex: Consider the family  $\mathbb{A}^1[t][x,y]/(ty-x^2) \rightarrow \mathbb{A}^1[t]$ .

The fiber over the closed point  $(t-a)$  is

$$\text{Spec} \left( \mathbb{A}^1[t][x,y]/(ty-x^2) \otimes_{\mathbb{A}^1[t]} \mathbb{A}^1[t]/(t-a) \right)$$

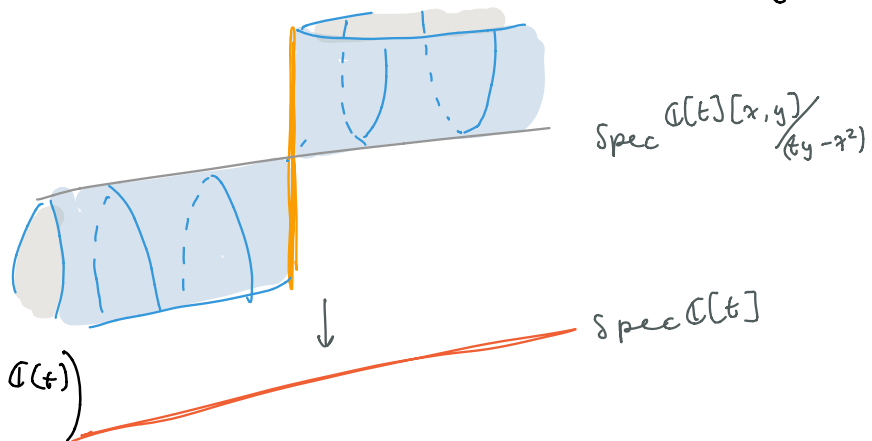
$\cong \text{Spec } \mathbb{A}^1[x,y]/(ay-x^2)$ , which is a parabola in

the general case, and a "double line"  $\text{Spec } \mathbb{A}^1[x,y]/(x^2)$  when  $a=0$ .

Note that the generic fiber is

$$\text{Spec} \left( \mathbb{A}^1[t][x,y]/(ty-x^2) \otimes_{\mathbb{A}^1[t]} \mathbb{A}^1[t] \right)$$

$\cong \text{Spec} \left( \mathbb{A}^1[t][x,y]/(ty-x^2) \right)$ , which is itself a parabola



over the field  $\mathbb{Q}(t)$ . i.e. it looks like a "typical"  
closed fiber.